

Attorney's Docket No. 38190/239642



XX

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Thomas L. Weaver et al.
Appl. No.: 09/975,168
Filed: October 11, 2001
For: CLOSED-LOOP OPTICAL NETWORK SYSTEM
AND AN ASSOCIATED TRANSCEIVER AND
METHOD FOR TRANSMITTING
A PLURALITY OF OPTICAL SIGNALS

Confirmation No.: 9101
Group Art No.: 2633

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

January 7, 2002

**INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

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Sarah B. Simmons

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶ Applicant is to place an "X" here if English language Translation is attached.

Substitute for form 1449A PTO		Complete if Known	
JAN 25 2002 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	09/975,168
		Filing Date	October 11, 2001
		First Named Inventor	Thomas L. Weaver et al.
		Group Art Unit	2633
		Examiner Name	To be assigned
Sheet	2	of	2
		Attorney Docket Number	
		38190/239642	

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.